

**Office Use Only**

Date Received: _____

Staff Receiving: _____

Application Number: _____

SEWER & DRAIN LICENSE NEW & RENEWAL APPLICATION

Rochester Code of Ordinances [Chapter 12-2](#) applies to supervision and control of sewers, and requires anyone desiring to make a connection with any public sewer to obtain a license to do so from the City.

Licenses must be renewed every year, with the license period running from January 1 through December 31. Required fees must be paid at the time an application is submitted. An application is typically processed within 30 days.

Instead of sending in this form, applications can be submitted online through the Accela Citizen Access portal. See the following instructions:

Register Public User Account (video): <https://lf.rochestermn.gov/Documents/ElectronicFile.aspx?dbid=0&docid=761310>

Applying for a New License (PDF): <https://lf.rochestermn.gov/Documents/ElectronicFile.aspx?dbid=0&docid=1210117>

Submitting a License Renewal (PDF): <https://www.rochestermn.gov/Home/ShowDocument?id=25701>

CHECKLIST

REQUIRED ITEMS THAT MUST BE SUBMITTED WHEN APPLICATION IS SUBMITTED

1. Fully complete all parts of the application and submit **ALL** pages including this checklist (*Every question must be answered – write 'N/A' or 'not applicable' if necessary, on any questions*)
 - License Application must be signed by the applicant or an owner/applicant/officer
2. License fee of \$90 submitted with the application
 - License fees are not prorated regardless of when issued during the calendar year.
 - Renewal applications not submitted by Dec. 31 will incur a 50% expired license fee.
 - An expedited license processing fee of \$50 is available for expedited licenses. These are licenses that can be issued within 72 hours of application processing, if this is requested on the application.
 - An administrative fee of \$25 will be charged for new applications submitted in lieu of renewals.
 - All fees are non-refundable, except in the case where the applicant chooses to withdraw their application within 14 days of submission.
3. \$10,000 City Performance bond to cover defects in the work performed during the license year with coverage to extend for a period of two years after completion of the work filed in the Office of the City Clerk.
 - The bond must state that it acknowledges and incorporates Rochester Code of Ordinances Sec. 12-2-8 (c).
 - The bond must be signed by the principal.
 - We **DO NOT** accept riders or continuation certificates.
 - Renewals of City Performance bonds should run Jan 1 - Dec 31. New City performance bonds should run from the date of issuance until Dec. 31 of that calendar year. No bond shall be accepted that attempts to spread the coverage of the bond amount over more than one license year.
4. Proof of \$25,000 State Plumbing Code compliance bond (does not require original bond but must provide proof of current bond.) Please make sure the bond has been properly filed with Minnesota Department of Labor and Industry: <http://ims.dli.mn.gov/ims>
5. Proof of worker's compensation insurance as required by Minn. Stat. §176.182, unless applicant is exempt from the requirement. Please make sure the certificate lists the **City of Rochester as the certificate holder.**
(*City of Rochester, 201 4th St SE, Rochester, MN 55904*)

6. Certificate of Insurance for **General Liability** and **Vehicle Liability** coverage in an amount not less than \$2,000,000 for each occurrence and \$4,000,000 in aggregate (Umbrella policies are accepted to reach the aggregate coverage).
Please make sure the certificate includes the following information.
- **Certificate holder: City of Rochester, 201 4th St SE, Rochester, MN 55904**
 - **Correct business name, business structure, and business address**
7. If this is not a sole proprietorship, ensure that the business is [registered with the Minnesota Secretary of State](#)

Fill in all blanks. Write N/A if a question is not applicable.

* If you are applying after October 1 for the current year, would you also like to apply to renew this license for the following year at the same time?

- ☐ Yes- If yes, one application can be submitted, but you will need to pay the license fee for each year
☐ No

* Is this a renewal of an existing or previous license with the City of Rochester?

- ☐ Yes
☐ No

* Are you requesting an expedited review of the application?

- ☐ Yes— If yes, we will strive to issue within 72 hours. Should this occur, a \$50 fee will apply.
☐ No

STEP 1. APPLICANT INFORMATION

Information about who is completing this application for the business

1. First Name		2. Last Name	
3. Primary Phone Number	4. Type of Phone: ☐ Cell ☐ Business ☐ Home ☐ Other	5. Alternative Phone Number	6. Type of Phone: ☐ Cell ☐ Business ☐ Home ☐ Other
7. Email Address			
8. Mailing Address	9. City	10. State	11. Zip Code
12. Please send official notices relating to this license to: ☐ Mailing Address ☐ Email ☐ Business Address		13. Role of person completing application: ☐ Owner ☐ Officer ☐ Partner ☐ Manager ☐ Agent for the Owner ☐ Other _____	

Information about primary point of contact for this license

14. First Name		15. Last Name	
16. Primary Phone Number	17. Type of Phone: ☐ Cell ☐ Business ☐ Home ☐ Other	18. Alternate Phone Number	19. Type of Phone: ☐ Cell ☐ Business ☐ Home ☐ Other
20. Preferred Spoken Language?		21. Preferred Written Language?	
22. Do you need an interpreter? ☐ Yes ☐ No			

23. Email Address		24. Role of primary contact: ☐ Owner ☐ Officer ☐ Partner ☐ Manager ☐ Agent for the Owner Other _____	
License Holder Information			
Provide information about who this license will be issued to			
25. Business Federal Tax ID Number		26. Business State Tax ID Number	
27. Entity license will be issued to (Legal Corporate Name of Business including LLC, Inc., Co., etc., or, <i>only if sole proprietor</i> , name of individual)		28. Business Trade Name (DBA) if different than legal name	
29. Business Address	30. City	31. State	32. Zip Code
STEP 2. BUSINESS INFORMATION			
Additional Business Applicant Information - attach additional sheets if necessary			
33. Type of Ownership: ☐ Sole Proprietor ☐ Corporation ☐ LLC ☐ Partnership ☐ Non-Profit ☐ Other _____		34. Date of Incorporation/ Organization	35. State of Inc./Org
36. Who is the individual who will have personal supervision of the work done as described in RCO 12-2-3 (d)?			
37. What is their address?	38. City	39. State	40. Zip code
41. What is this person's experience and qualifications for this license?			
42. Total number of employees: _____			
43. Number of employees with a "Pipe Layer's Card": _____			
44. Number of employees with "Competent Person Trench Safety" training: _____			
45. Number of years of experience in constructing sewer connections: _____			
46. Years of experience in Rochester: _____			
47. List Construction Equipment owned or leased by applicant:			
48. Are all workers for the applicant familiar with the requirements of Rochester Code of Ordinances Chapter 12-2 (Sanitary Sewage Disposal) and R.C.O Chapter 9-1 (Street & Sidewalk Regulations in General) as they pertain to connections to the public sewer and street openings? ☐ Yes ☐ No			
49. Name of person to be called on weekends, night, and otherwise outside of working hours to take care of emergency work in connection with street openings, lights, and settlement of street openings:			
50. What is their address?	51. City	52. State	53. Zip code
54. Phone Number:			

55. Other information relevant to the license application:

STEP 3. BUSINESS DATA

Worker's Compensation Insurance

Worker's Compensation Company

Policy Number

Dates of Coverage

OR:

I certify that I am not required to carry workers' compensation insurance because:

- ☐ I am self-insured.
- ☐ I am the sole proprietor, and I have no employees.
- ☐ I have no employees who are covered by workers' compensation law.

Only employees who are specifically exempted by statute are not covered by workers' compensation law. These include spouse, parents, and children regardless of age. All other workers whose work is controllable by the employer must be covered.

STEP 4. NOTIFICATION AND VERIFICATION

Notice of Collection of Private Data

The information collected and required as part of a license application will be used to determine eligibility for a City of Rochester License or Permit. Disclosure of this information is voluntary. It is not legally required to provide requested data, however, failure to do so may mean the City of Rochester is unable to process this application. Social Security Numbers and Birth Dates are classified as private data and are not available to the public. Access to this data is limited to staff with a business need to determine license eligibility, and to administer and manage the licensing program.

Disclosure of Social Security Number (or Individual Tax ID Number only for individuals without a social security number), and a Minnesota Tax ID Number is required by Minnesota Statutes 270C.72 and may be requested by and released to the Minnesota Commissioner of Revenue.

All other information contained in this application is public information upon submission pursuant to the Government Data Practices Act, Minnesota Statutes Chapter 13. Individuals have the right to see and obtain copies of the data maintained on them, including private data, and have the right to be told the contents and meaning of the data, and to contest the accuracy and completeness of the data.

Notice of Ability to Sign up for Electronic Notifications of Proposed City Ordinances

As an applicant for a business license or renewal of an existing business license, you are also hereby notified that the City of Rochester distributes general city information and notices through an electronic notification system, and you may sign up to receive notices through this electronic notification system on the City's website at <https://service.govdelivery.com/accounts/MNROCH/subscriber/new> This includes notice of proposed ordinances at least 10 days prior to final adoption by the City Council in accordance with Minn. Stat. 415.19.

A SIGNATURE IS REQUIRED IN ORDER TO PROCESS YOUR LICENSE APPLICATION

I, (print name) _____, have read and understand the above information regarding my rights as a subject of government data. I acknowledge I have been provided information about what is required to obtain a business license from the City of Rochester, and how to receive notifications of proposed City ordinances. I agree I will strictly comply with all the laws of the State of Minnesota governing the taxation of business and all ordinances of the City of Rochester and understand I can review all City ordinances on the city website or in the City Clerk's Office. I certify that I have read and understand every question in this application and that the answer to every question is true to my knowledge, information and belief, and further understand that the giving of false information as part of this application, regardless of when it is discovered, and/or failure to give required pertinent information can constitute cause for denial, suspension, or revocation of my business license.

Signature of Applicant _____ Date _____